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M. Bud Nelson  
Name MBN  
Signature 09/23/2003  
Date of Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Bray et al.

Application No.

Examiner:

Filed: 24 September 2003

Art Unit

For: Conjugates comprised of polymer and HIV-derived peptides and their use in therapy

Commissioner for Patents  
Alexandria, VA

**Docket No. TRM-004**

**INFORMATION DISCLOSURE STATEMENT**  
**37 CFR 1.56 and 1.97**

Applicants wish to make of record the following references under the provisions of 37 CFR 1.56 and 1.97 **and** to provide a copy of relevant background with respect to the application. The submission of any document herewith is not intended as an admission that such document constitutes prior art against the claims of the present application, or is to be considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove any document as a cited reference against the claims of the present invention.

References Cited

Please see attached Form PTO-1449 for list of publications, legible copies of all publication listed are enclosed.

Statement of Relevancy

Refs. 1,2, 6 & 7 generally relate to HIV gp41-derived peptides.  
Refs. 3,4,5,& 7 generally relate to conjugates.

Respectfully submitted,

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

TRM-004

Application Number

Applicant(s)

Bray et al.

Filing Date

09/24/2003

Group Art Unit

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,656,480	08/12/1997	Wild et al.			
	2	6,573,078	06/03/2003	Wild et al.			
	3	5,767,078	06/16/1998	Johnson et al.			
	4	6,113,906	09/05/2000	Greenwald et al.			
	5	6,258,774	07/10/2001	Stein et al.			
	6	6,348,568	02/19/2002	Barney et al.			
	7	09/350/641		Barney et al.			07/09/1999

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.